

Finance (FISV) Courses

FISV2000 Finance

This course is an introduction to the fundamental principles of finance, with a focus on financial statement analysis and decision making. The course encourages active learning through cases, concept questions and problem solving. Students are exposed to traditional financial statements as well as managerial financial reports for use in learning essential decision making processes. Major topics include financial statement analysis, fundamentals of risk and return, time value of money, various budgeting models, and alternative forms of financing. The use of spreadsheets in applications such as Excel is an emphasis in this course.

Prerequisite(s): ACCT1210, FIT1040. (OL)

Offered at Charlotte, Denver, North Miami, Online, Providence, Providence CE
4.5 Quarter Credit Hours

FISV2012 Introduction to Financial Institutions

This course serves as an introduction to financial services, financial markets and financial institutions. The banking, brokerage, insurance and investment industries are described and analyzed. In addition, the economic environment of financial institutions is addressed with focus on the regulatory framework and the tax environment.

Prerequisite(s): ACCT1210 or (ACCT1007 or ACCT2002 or ACCT1202 or ACCT1002 or ACCT10021 or ACCT1022 or ACCT10021). (OL)

Offered at Online, Providence, Providence CE
4.5 Quarter Credit Hours

FISV3001 Investments

This course introduces students to investing and financial planning.

Topics include the nature of capital markets and the roles that investment companies play between the investor and the corporation. Different asset classes, including equities and bonds, are covered. The role of the financial planning process, taxes and regulations are also considered.

Prerequisite(s): FISV2000 or FISV2010. (OL)

Offered at Charlotte, Denver, North Miami, Online, Providence, Providence CE
4.5 Quarter Credit Hours

FISV3005 International Finance

This course provides students with an overview of international banking and finance. Topics include the international dimensions of finance, foreign exchange rates, international sources of funds, international banking regulations, and the contrasts between European, Asian and American banking.

Prerequisite(s): ACCT1210 or (ACCT1007 or ACCT2002 or ACCT1202 or ACCT1002 or ACCT10021 or ACCT1022 or ACCT10021). (OL)

Offered at Charlotte, Denver, Online, Providence, Providence CE
4.5 Quarter Credit Hours

FISV3010 Credit Risk Analysis and Management

This course examines the role of credit and analyzes its impact on the economic and social environments. Both consumer credit and business credit are studied in-depth, with emphasis placed on sources and uses of credit, credit reporting and credit analysis.

Prerequisite(s): ACCT1210 or (ACCT1007 or ACCT2002 or ACCT1202 or ACCT1002 or ACCT10021 or ACCT1022 or ACCT10021). (OL)

Offered at Charlotte, Online, Providence, Providence CE
4.5 Quarter Credit Hours

FISV3015 Fundamentals of Financial Planning

This course introduces students to the financial planning process, client/planner interactions and personal financial statements development and assessment. Topics include cash flow and debt management, education planning, planning elements of risk management, investment planning and retirement planning. This course is specifically of interest to those students who wish to pursue careers as financial planners.

Prerequisite(s): ACCT1210 or (ACCT1007 or ACCT2002 or ACCT1202 or ACCT1002 or ACCT10021 or ACCT1022 or ACCT10021). (OL)

Offered at Online, Providence, Providence CE
4.5 Quarter Credit Hours

FISV3040 Money and Capital Markets

The purpose of this course is to provide the student with knowledge of a broad range of subjects related to the nature and functions of money and credit. Money creation, the demand for money and the relation of money to inflation and financial flows, the role and functions of financial institutions, and consumer financing and business capitalization are each examined. This course emphasizes the changing role of competitive financial institutions and the effects of these changes on the flow of funds and monetary policy implementation by the Federal Reserve System to regulate and control money and financial institutions. Real-world examples are used to focus student learning to applications of theory and consequential economic impacts both nationally and internationally.

Prerequisite(s): ECON1001. (OL)

Offered at Online, Providence, Providence CE
4.5 Quarter Credit Hours

FISV3080 Financial Statement Analysis

This course includes the analysis and interpretation of external financial statements for various business entities as seen through the eyes of professional investors and creditors. The course explores various analytical techniques including comparative financial statement, trend and ratio analyses.

Prerequisite(s): ACCT2022 or (ACCT3023 and ACCT1007), (FISV2000 or FISV2010). (OL)

Offered at Charlotte, Online, Providence, Providence CE
4.5 Quarter Credit Hours

FISV3199 Experiential Education Projects in Financial Modeling and Valuation

This course aims to provide students with the skills and knowledge required to be successful in today's fast paced and highly regulated financial environment. The key benefit for students taking this course is the experiential education they receive by developing (from scratch) a comprehensive 3-statement Financial & Valuation Model using various supporting schedules, real time company and employing the same tools and methodologies they would on the job to a variety of analyses commonly performed by investment bank and financial analysts. Students complete 8 hours of advanced Excel training, followed by a 2-day seminar conducted by an industry recognized leader (Wall Street Prep) in Financial Modeling and Valuation. Students develop leadership and collaborative abilities and refine critical thinking, problem-solving and active citizenship skills. The course culminates in a formal presentation to the Finance & Investment Academy. This course uses experiential learning as a primary method of achieving the course objectives.

Prerequisite(s): ACCT1007 or ACCT1220, (ACCT2023, ACCT3040) or (FISV3001, FISV3040), 90 credit hours of completed coursework. (OL)

Offered at Online, Providence, Providence CE
4.5 Quarter Credit Hours

FISV4010 Bank Management

This course examines the banking industry from the perspective of both a bank customer and a bank manager. Focus is on policies developed and procedures used to make decisions on providing loans to businesses and consumers. Additional topics addressed include risk management, interstate banking, technological advancements and the regulatory environment.

Prerequisite(s): FISV2012 or FISV3005 or FISV3040.

Offered at Denver, Providence, Providence CE
4.5 Quarter Credit Hours

FISV4015 Fundamentals of Estate Planning

This course focuses on the efficient conservation and transfer of wealth, consistent with the client's goals. It is a study of the legal, tax, financial and non-financial aspects of this process, covering topics such as trusts, wills, probate, advanced directives, charitable giving, wealth transfers and related taxes.

Prerequisite(s): FISV3015. (OL)

Offered at Online, Providence, Providence CE
4.5 Quarter Credit Hours

FISV4020 Risk Management and Insurance

This course provides an understanding of the procedures and concepts of risk management as a precedent to the study of insurance, which is an important tool in risk management. The balanced treatment of both risk management and insurance provides a broad introduction to the field. Students learn risk identification, analysis, measurement, control and financing, and study insurance markets, functions, coverage and benefits.

Prerequisite(s): ACCT1210 or (ACCT1007 or ACCT2002 or ACCT1202 or ACCT1002 or ACCT10021 or ACCT1022 or ACCT10021). (OL)

Offered at Charlotte, Denver, Online, Providence, Providence CE

4.5 Quarter Credit Hours

FISV4025 Corporate Finance

This course expands on previous finance courses by introducing more advanced topics, including capital structure, working capital management, capital budgeting and forecasting. Additional topics include operating and financial leverage, working capital management, capital budgeting, leasing and long-term financing.

Prerequisite(s): ACCT2022 or ACCT3031 or (ACCT3023 and ACCT1007), (FISV2000 or FISV2010). (OL)

Offered at Online, Providence, Providence CE

4.5 Quarter Credit Hours

FISV4030 Real Estate

This course is designed for students seeking a clear presentation of the numerous investment decisions involved in real estate. Topics include how to lease, buy, sell or mortgage a property; how to analyze and predict the forces in the market and determine real estate values; whether and when to renovate, rehabilitate or demolish; and when and how to divest of property.

Prerequisite(s): FISV2000 or FISV2010. (OL)

Offered at Charlotte, Denver, Online, Providence, Providence CE

4.5 Quarter Credit Hours

FISV4040 Futures and Options

This course includes a thorough foundation of options, futures, swaps, and other derivative securities. Topics include theoretical and normative pricing methods as well as the use of derivatives in portfolio and corporate risk management.

Prerequisite(s): FISV3001, MATH2001.

Offered at Providence, Providence CE

4.5 Quarter Credit Hours

FISV4050 Portfolio Management and Analysis

This course includes a thorough foundation in the process of portfolio management, from individual security evaluation to broad asset allocation decision making. Topics covered include the portfolio management process including asset allocation, benchmarking, evaluation and reporting, as well as the manner in which trading takes place. An analysis of alternative assets is also explored including real estate, investment companies, private equity and venture capital, hedge funds, closely-held securities, distressed securities, tangible assets, commodities and derivatives.

Prerequisite(s): FISV3001, MATH2001.

Offered at Providence, Providence CE

4.5 Quarter Credit Hours

FISV4060 Fixed Income Analysis

This course includes the analysis of fixed income securities and all securities whose valuation and hedging are related to interest rates. Topics include the bond market and its various instruments including U.S. treasuries, corporate bonds, mortgage-backed securities and emerging market bonds. The course also covers bond portfolio management techniques such as interest rate forecasting, yield curve anticipation and security selection. This course is appropriate for students interested in financial analyst careers.

Prerequisite(s): FISV3001.

Offered at Providence, Providence CE

4.5 Quarter Credit Hours

FISV4080 Finance Seminar

This course gives students the opportunity to analyze and report on contemporary issues in finance using knowledge obtained through previous finance and related course work. Case work and simulation software is used extensively throughout the course to allow students to incorporate various financial concepts and techniques in making financial decisions.

Prerequisite(s): ACCT2022 or (ACCT3023 and ACCT1007), FISV2012, FISV3001, FISV4025, senior status. (OL)

Offered at Online, Providence, Providence CE

4.5 Quarter Credit Hours

FISV4120 Investment Banking

This course introduces students to investment banking services and practices and provides the many Excel-based valuation model skills and knowledge required by first-year investment banking analysts. Topics include the history of investment banking, the services and activity of investment banking in raising equity and debt in Capital Markets, Advisory of M&A, Restructuring and LBOs, and Asset Management trading and brokerage services. This course is specifically of interest for students seeking a career in Investment Banking, Equity Research, or Private Equity.

Prerequisite(s): FISV3001.

Offered at Providence, Providence CE

4.5 Quarter Credit Hours

FISV5000 Corporate Financial Accounting

This course is designed for graduate students who do not have an undergraduate degree in business. It provides instruction in financial and managerial accounting principles; business entities; the accounting equation; preparation of financial statements using the adjusted trial balance; horizontal, vertical and common-sized financial statements analysis; financial ratios analysis; cash budgeting; the examination of cost behavior; and break-even analysis. The primary objective of this course is to prepare the graduate student for advanced coverage of topics in the financial management course. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV5410 Personal Financial Planning

This course introduces students to comprehensive personal financial planning, which is the process of designing, implementing and monitoring financial decisions that help an individual or family accomplish their financial objectives. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV5420 Estate Planning

This course focuses on the efficient conservation and transfer of wealth, consistent with the client's goals. It is a study of the legal, tax, financial and non-financial aspects of this process, covering topics such as trusts, wills, probate, advanced directives, charitable giving, wealth transfers and related taxes. The course explores the taxation of gifts, estates and generation skipping transfers, and includes the calculation of the gift tax, estate tax and generation skipping transfer tax in consideration of applicable exclusions and deductions. Students are exposed to estate planning techniques such as lifetime transfers and gifting, charitable gifting, the utilization of trusts and partnerships, and postmortem planning. The course emphasizes solving a client's estate planning problems by providing students with the tools to develop practical strategies that focus on a client's goals and objectives and apply current tax law to develop an effective estate plan.

Prerequisite(s): FISV5410. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV5430 Principles of Risk and Insurance

This course explores the financial risks that individuals and businesses face and how they can manage these risks, including the utilization of insurance solutions. Students are exposed to the purpose, structure and coverage options of the following types of insurance: health, disability, long-term care, life, property and casualty, and more. This course also reviews the taxation of insurance benefits and how taxation should affect a financial planner's decision to recommend insurance solutions under certain circumstances. After completing the course, students are able to determine a client's risk exposure and develop a comprehensive insurance and risk management plan for the client based on the client's goals and objectives.

Prerequisite(s): FISV5410. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV5440 Retirement Planning

This course explores the nature and function of retirement plans and surveys the more common employee benefits presently offered by companies. The course outlines the various retirement plans available, including government and private plans, pension plans, individual retirement accounts, and other qualified and non-qualified retirement plans. Students learn to determine a client's eligibility to participate in a retirement plan, calculate a client's tax deductible contribution limits, and calculate the taxation of retirement plan benefits upon distribution. The course includes a complete needs analysis to determine a client's expected monetary needs and the associated cash flow required in a client's retirement years. Students recommend an appropriate plan of action including retirement plan options that achieve the client's goals and objectives and complement the client's comprehensive financial plan.

Prerequisite(s): FISV5410. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV5526 Financial Reporting and Control

This course offers students a practical approach to understanding financial reporting. Students learn the requirements of generally accepted accounting principles in financial statement preparations by researching and analyzing publicly traded companies. Emphasis is placed on how the financial statements translate into stock prices and how they can be used as a barometer of a company's financial position. Students explore how companies in trouble can benefit from implanted controls, via the financial reporting process, to ensure earlier and better insight in making difficult decisions.

Prerequisite(s): FISV5600. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV5600 Financial Management

This course provides the student with sufficient analytical skills to interpret and act upon financial data and information that lead to sound financial decisions for business organizations. Topics include the time value of money, capital budgeting methods, financial statement analysis, breakeven analysis, short and long term financing methods, and topics in international financial management. Contemporary financial management techniques are discussed throughout the course.

Prerequisite(s): FISV5000. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV6020 Tax Planning for the Financial Manager

This course offers the student a practical approach to understanding the complexity of the U.S. tax system. Discussion centers on the tax laws as a means of fiscal policy. The student explores how to plan to utilize the tax system for financing company needs. The course is designed to teach students how to research and understand the initiation of tax law, how this is brought through the Treasury Department, and how judicial interpretation affects the understanding of tax issues. Emphasis is on effective planning in the overall financial scheme.

Prerequisite(s): FISV5600. (HY)

Offered at Providence

3 Semester Credits

FISV6050 Strategic Financial Planning

This course offers students a practical approach to understanding the budgeting process. Students are exposed to the complete cycle of budgeting, from understanding the strategic plan that initiates the budget process to auditing the results. Students see the interrelating components of the operational budget to the capital budget and how these build to the cash flow budget. Students are able to translate the cash flow necessary to support the strategic plan to financing requirements that need to be met by banking or other sources. Students apply research techniques in coordinating a comprehensive strategic plan with a particular industry.

Prerequisite(s): FISV5526. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV6056 Fundamentals of Investment and Portfolio Management

This course introduces student to the fundamentals of investment and portfolio management. It focuses the student's attention on the impact that asset diversification has on expected portfolio outcomes. Students should complete the course with a thorough understanding of most investment vehicles and how they can be used in varying weights to influence the short and long-term returns of an investment portfolio. Students apply the basic tenets of FISV5600 by applying ratio analysis in the course of investment decision-making. Upon completion of the course, students are better prepared to make prudent investment decisions in both their professional careers as well as their own personal financial planning.

Prerequisite(s): FISV5600. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV6060 Managing Capital Markets

This course offers students a practical approach to understanding the best way to finance a firm for the needs outlined by management. Students learn how to manage a banking relationship and research various methods to finance a company's strategic plan. Discussions center on companies that expand and those that downsize their businesses.

Prerequisite(s): FISV5600. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV6410 Equity Analysis

This course covers several valuation techniques used in equity investment analysis. Equity (i.e., stocks) typically comprises the largest asset class in a majority of individual and institutional portfolios. Topics include the various types of analytical tools used to value equity securities, along with their respective strengths and weaknesses. In addition, students gain perspective on when to choose the best technique as well as private company valuation methodologies. This course is appropriate for students interested in becoming a portfolio manager or investment analyst on the institutional or consumer side of the financial services industry.

Prerequisite(s): FISV6056. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV6420 Bond Market Analysis

This course covers the bond market as well as other fixed income securities. Bonds are a pivotal asset class in institutional and individual investing. Topics include the various types of fixed income securities, including government debt, corporate debt, and securitized debt such as mortgage-backed securities and international bonds. The course covers various valuation and portfolio management methodologies. This course is appropriate for students interested in becoming a portfolio manager or investment analyst on the institutional or consumer side of the financial services industry.

Prerequisite(s): FISV6056. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV6430 Applied Behavioral Finance

This course is an applied behavioral finance course examining the intersection of behavioral finance, financial therapy, and personal financial planning theory, practice and research. It reviews the research on behavioral finance and investor psychology, exploring the effects of human emotions and cognitive errors on financial decisions. This course focuses on the application of behavioral finance theory and research to the practice of financial therapy to help professionals improve the financial health of their clients.

Prerequisite(s): FISV5410. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV6440 Alternative Investments

This course reviews several types of alternative investments including hedge funds, private equity, real estate, managed futures, commodities and structured products. Topics include risk management, valuation methodologies, portfolio management and professional standards and ethics in the alternative investments industry. This course is appropriate for students interested in becoming a portfolio manager or investment analyst.

Prerequisite(s): FISV6056. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV6450 Derivatives and Risk Management

This course reviews various types of derivatives such as options, forward contracts, futures contracts and swaps. Topics include the use of derivatives as risk management tools on an investment institutional level as well as the analysis of various derivative pricing models.

Prerequisite(s): FISV6056. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits

FISV6480 Capstone for Certified Financial Planners

This is the capstone course for the financial planning track, integrating the material learned in prior financial planning courses. This course is primarily structured as a casework course. Weekly cases are designed to closely reflect the types of client situations students may encounter as financial planners and when sitting for their CFP® certification examination. Each case will contain financial planning, insurance, investment, tax, retirement, employee benefit and estate planning issues, reflecting the complexities of real life clients.

Prerequisite(s): ACCT6410, FISV5410, FISV5420, FISV5430, FISV5526, FISV5600, FISV6056. (HY) (OL)

Offered at Online, Providence

3 Semester Credits

FISV6490 Institutional Portfolio Management

This course presents the ways investment management firms build and manage portfolios for their clients. The process of portfolio management is studied in detail, from the nuances of individual security selection to asset allocation decisions made across a broad range of investment choices. Specific topics include asset allocation, benchmarking, trading and regulatory reporting.

Prerequisite(s): FISV6056. (HY) (OL)

Offered at Denver, North Miami, Online, Providence

3 Semester Credits